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# Robot Autonomy Final Report : Team Husky

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## Abstract

2 This report focuses on the human detection part of the HUSKY project. Point-  
3 cloud data is collected by Velodyne LIDAR and then processed in both C++ and  
4 MATLAB. The two approaches help us to detect the human accurately. Both  
5 approaches focus on clustering and region of interest detection of the pointcloud.  
6 In C++ approach we applied Euclidean and Region Growing clustering, while  
7 in MATLAB approach we applied DBSCAN clustering and machine learning to  
8 detect human. By now we are able to detect multiple moving humans in real time  
9 with high accuracy.

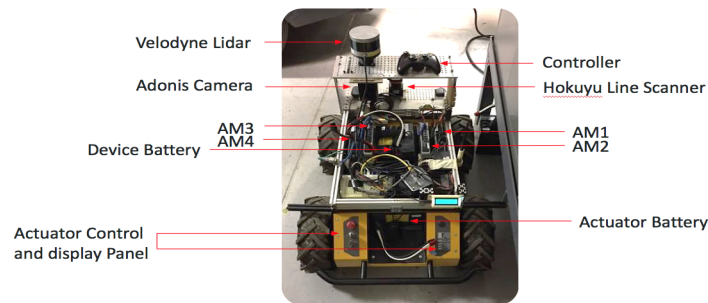


Figure 1: HUSKY Robot

## 10 1 Problem Definition

11 The main theme of the project is the development of intelligent robotic systems that can work with  
12 humans in a team. To support complex cognitive capabilities, various technologies from multidisci-

13 plinary fields have been leveraged including high-level reasoning, natural language understanding  
14 and semantic perception. A great example of the application of this robot is the in battlefield. When  
15 the soldier needs to detect if there's any potential threats or enemy in the nearby environment such  
16 as a building or a house, he or she can send our robot into it by voice command and inspect the  
17 building. To achieve this task, a significant ability is to know where objects or the enemy, or human,  
18 is. However, for a human interactive mobile robot, it is more likely for the robot to hit a human than a  
19 static object. Thus, the significant ability about detecting, recognizing, and tracking a human being  
20 should be built in this robot. This project is mainly about analyzing the point cloud data collecting  
21 from a Velodyne LIDAR to achieve detecting, recognizing, and tracking a walking human.

## 22 **2 Related Works**

23 The commonly used approaches for detection and tracking of moving objects for vehicular appli-  
24 cations involve sensors such as radar and LIDAR. They are capable of collecting data directly. In  
25 particular, recent models of laser scanners are capable of gathering high-resolution data at high  
26 scanning speeds.

27 One of the work using LIDAR data related to us is Luis et al. describe the application of pedestrian  
28 detection and tracking using LIDAR Data. The approach in their method is first quickly select  
29 human potential points in the point cloud LIDAR collected, then use statistical pattern recognition  
30 techniques to classify each object. The algorithm uses geometric and motion features to recognize  
31 human signatures. The main improvement is most significant for static human.

32 Another work related to us is Gabriel J et al. method utilize structure model to recognize human and  
33 object from motion 3D point cloud. They propose an algorithm for semantic segmentation based on  
34 3D point clouds. They introduce features that project the 3D cues back to the 2D image plane while  
35 modeling spatial layout and context. A randomized decision forest combines many such features to  
36 achieve a coherent 2D segmentation and recognize the object categories present.

37 In 2008 Thornton et al. report an algorithm capable of detecting both stationary and moving humans.  
38 The paper gives an approach for the automatic detection and tracking of humans using multi-sensor  
39 including 3D Ladar and long wave infrared video and integrated the data from these sensors.

## 40 **3 Approach**

### 41 **3.1 Data Collection Procedure with the robot**

42 The Velodyne LIDAR is mounted on the front of the robot and connects to the third computer, AM3,  
43 on the robot. There are several procedures towards successfully collecting the point cloud data. First,  
44 we established the connection between our local computer and AM3. Then we ran the ROS package  
45 on AM3 to start collecting data. While AM3 is collecting data, we can visualize the point cloud on  
46 our local machine. In this process, some adjustments need to be done to account for the instability  
47 of the hardware system. After finishing data collection, we mounted our USB drive on to AM3 and  
48 copied the bag file to USB before inspecting the bag file. Finally, we re-visualized the data in RVIZ  
49 on local machine to make sure the bag file is intact and the file is ready for analyzing.

50 One of the problems that we encountered was, if we record the ROS bag locally into our machine (  
51 by subscribing to the ROS Master ), there would be huge communication lag and almost 30 percent  
52 of the data would be lost.

### 53 **3.2 Clustering and Region of Interest Detection**

54 Cluster analysis or clustering is the task of grouping a set of objects in such a way that objects in the  
55 same group are more similar to each other than to those in other groups. Similarities can be found  
56 through attributes such as distance, curvatures, colors and several others. To get real time results we  
57 used C++ for clustering and Region of Interest Detector, and subsequently fused it with the Kalman  
58 Filter Tracking algorithm. However, to improve human detection, we trained a fully connected layer  
59 with 400 hidden units in MATLAB. The approach for both these methods are mentioned below :

60 **3.2.1 C++ Approach**

61 We have tried two methods: Euclidian Clustering, and Region Growing Clustering. We will explain  
62 both briefly as follows:  
63

64 **3.2.1.1 Euclidean Clustering:**

65 A simple data clustering approach in an Euclidean sense can be implemented by making use of  
66 a 3D grid subdivision of the space using fixed width boxes, or more generally, an octree data  
67 structure. This particular representation is very fast to build and is useful for situations where either a  
68 volumetric representation of the occupied space is needed, or the data in each resultant 3D box (or  
69 octree leaf) can be approximated with a different structure. In a more general sense however, we can  
70 make use of nearest neighbors and implement a clustering technique that is essentially similar to a  
71 flood fill algorithm.  
72

73 **3.2.1.2 Region Growing Clustering:**

74 The natural extension of the Euclidean clustering algorithm for the problem of segmenting points  
75 with similar properties together, is to include additional information in the checks performed at step  
76 3, such as the point's color, or an information regarding its surrounding geometry, etc. This method  
77 is similar to a region growing approach, with the difference that it propagates the growth along  
78 directions of lower curvature first. Points will stop being added to the current region if none of their  
79 neighbors fulfills the angle criteria and the queue of points is empty.

80 After trials and estimating which gave the most consistent and dense clusters, we went ahead with the  
81 region based clustering algorithm. We use an Oct-Tree approach where in we save the first pointcloud  
82 into the Oct-Tree. As the sensor stream feeds in, we use this Oct-Tree to remove static points from the  
83 incoming pointcloud. This is called background subtraction. Then on applying the Region Growing  
84 Clustering, we get clusters of moving human like objects, based on the parameters we enter for the  
85 curvature, size etc. These cluster indices are then passed on to the tracker.

86 **3.2.2 MATLAB approach**

87 We applied another approach to cluster the pointcloud and detect the region of interest. The work flow  
88 chart is shown in Figure 2. The major steps are: Ground Removal and DBSCAN Clustering, XZ-Plane  
89 Projection and Re-sizing, and Machine Learning. This approach is implemented in MATLAB.

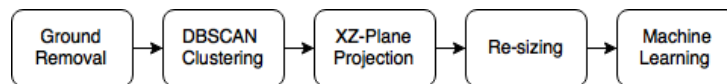


Figure 2: Work Flow

90

91 **3.2.2.1 Ground Removal and DBSCAN Clustering**

92 After the raw pointcloud data is collected, it is first downsampled for processing in the next steps.  
93 To remove the ground, our approach is to fit a plane of the ground based on the maximum distance,  
94 the reference vector ([0,0,1], pointing upward) and maximum angular distance. The points are then  
95 classified into inliers and outliers, where inlier are the points that fits the ground plane and outliers  
96 are the other points. To remove the ground, the inlier points are removed.

97 The clustering is achieved by applying DBSCAN, which stands for Density-based spatial clustering  
98 of application with noise. It clusters the pointcloud based on the density of the points and returns  
99 outliers and inliers.

100 The ground removal and DBSCAN clustering process is shown in Figure 3. The ground is labeled by  
101 green square and the human is labeled by red square.

102 **3.2.2.2 XZ-Plane Projection and Re-sizing**

103 The clustering of the pointcloud gives several potential human cluster of the points. To further detect  
104 if the cluster is human or not, the point cluster is first projected onto X-Y plane and check if the  
105 size of the projection is within range of human projection. If the cluster passes this test, it is then  
106 projected onto XZ-plane to generate a picture for the training part.

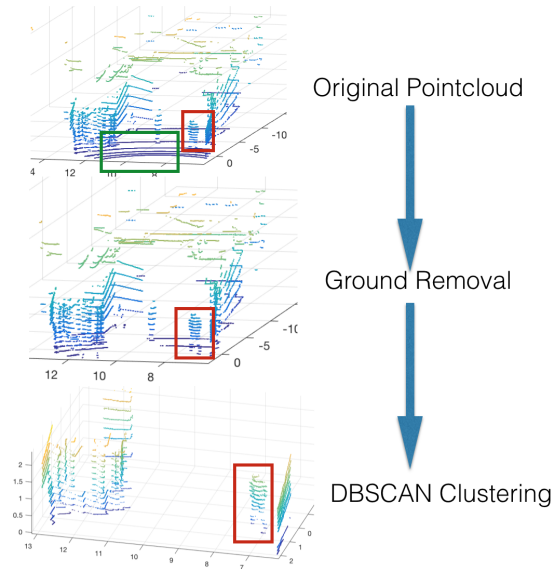


Figure 3: Ground Removal and DBSCAN Clustering

107 However, the projected graph has size of  $170 \times 100$ , which gives 17000 features for the learning  
 108 process for each graph. To shorten the process, the picture is then downsampled and re-sized to  
 109  $52 \times 30$ , which only gives 1560 features for each graph.

110 The XZ plane projection and re-sizing process is shown in Figure 4. The non-human cluster is shown  
 111 in left and the human cluster is shown on right.

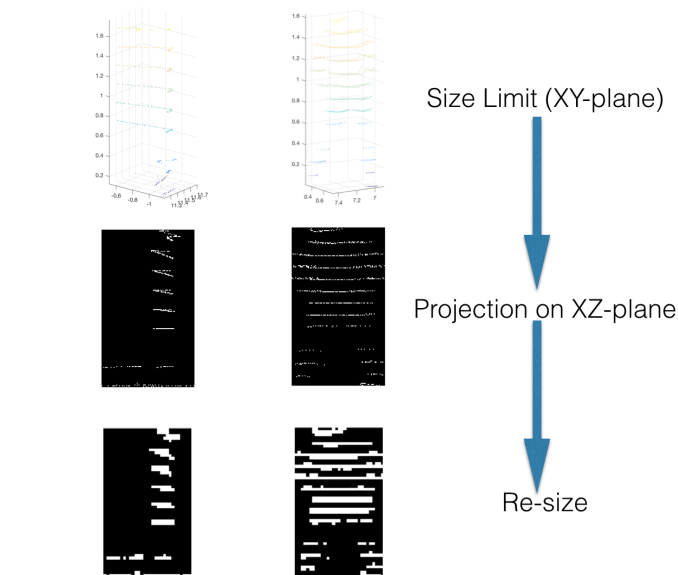


Figure 4: XZ-Plane Projection and Re-sizing

### 112 3.2.2.3 Machine Learning

113 To achieve better accuracy in Object Detection , we decided to use a Fully connected layer with 400  
 114 hidden layers for the training. The features that we expected the algorithm to learn are as follows :

- 115 1. Density of Point Cloud (Heat Map Belly Dense)
- 116 2. Symmetrical Distribution of points
- 117

118 **3.2.2.3.1 Data Labelling**

119 Since , there is no standard data-set available for training on Velodyne LIDAR point cloud images ,  
 120 we had to collect and label our own data. We labelled the data ourselves and generated 3000 images  
 121 for running our classifier. Here is the logic for the labelling :

- 122 Human: label 1
- 123 Nonhuman: label 2
- 124 Not sure: label 3 (exclude from data later)
- 125

126 **3.2.2.3.2 Architecture**

127 Our architecture has a fully connected layer with Backward propagation. We use Sigmoid function to  
 128 output the probabilities of the class and label the data with the highest probability.  
 129

130 **Cost Function** : Sum of Log probabilities of Correct Label

- 131 Input size = 52\*30
- 132 Output Classes = 2
- 133 400 hidden units
- 134 Train Data = 3000 images
- 135 Test Data = 200 images
- 136 2 Validation Data Sets : 80 images
- 137

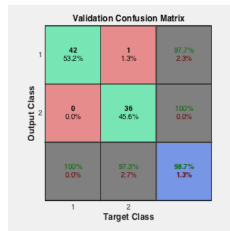


Figure 5: Validation Test Result

138 **3.3 Tracking**

139 After noise removal and ground plane segmentation, the point cloud is clustered into Regions of  
 140 Interest. Each of the cluster is assigned an Object Identifier number . The object Identifier number  
 141 stores the cluster center for the cluster recognition. The tracker uses the Centroid of the Cluster for  
 142 re-assigning the Object Identification Number from one frame to the next.

143 The tracking problem comprises of two main steps :

144 **3.3.1 Data Association**

145 The data association is done by using the minimum Euclidean Distance Algorithm. Let Object  
 146 Identifier y be associated with cluster with centre x, at a given frame. In the next frame, the cluster  
 147 whose center is closest ( by Euclidean Distance) to x would be associated with the object Identifier y.  
 148 This process is repeated for all the object identifiers to get the cluster association from one frame to  
 149 the next.

150 **3.3.2 State Estimation and Prediction using Kalman-Filter algorithm**

151 The 3-D point cloud is projected down to the ground plane and the tracking is performed on the  
 152 cluster centre. The state dimension for the tracking is 4 i.e ( position in x , position in y , velocity in x  
 153 , velocity in y ). The measurement matrix consists of 2 Dimension i.e Velocity in x and velocity in y .

154 There is no control input for our problem statement. Action Uncertainty ( $Q$ ) = 0.01; Measurement  
155 Noise ( $R$ ):  $\sigma=0.1$ ;  
156 In all the Tracking algorithm follows the sequence :



Figure 6: Tracking Workflow

## 157 4 Results

158 We were successfully able to detect and track Humans, even with a noisy sensor data. The Region-  
159 Growth based Clustering algorithm gives us precise results for identification of Humans. We combine  
160 that with the Kalman-Filter algorithm to achieve reliable tracking of humans.

161 Here are some of the results from each step of the Perception Algorithm :

### 162 4.1 Data Collection :

163 Figure 7, depicts the raw data (noisy) collected from the Velodyne 16 Channel LIDAR on the Husky.  
164 The data is huge and needs to be downsampled before implementing clustering on it.

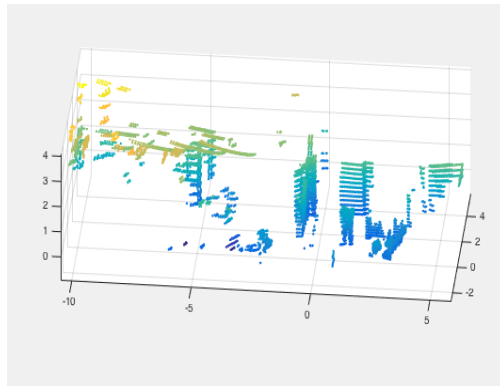


Figure 7: Raw data from Velodyne LIDAR

### 165 4.2 Clustering and Region Of Interest Detection :

166 We implemented two algorithms for cluster detection : Region Growth Clustering and DBSCAN  
167 based clustering. The results are mentioned in the figures below :

#### 168 4.2.1 Region Growth Clustering Result

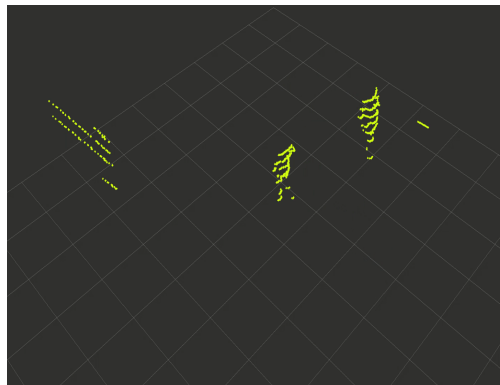


Figure 8: Region Growth clustering result

169 **4.2.2 Clustering using DBSCAN results**

170 DBSCAN algorithm is based on Euclidian Distance. If a point is within a certain radius of the  
171 cluster center, it is grouped to that particular cluster. However, if the number of points in the cluster  
172 (formed after grouping all points) is less than the threshold value, the cluster is deemed noisy and is  
173 assigned a value of 0.

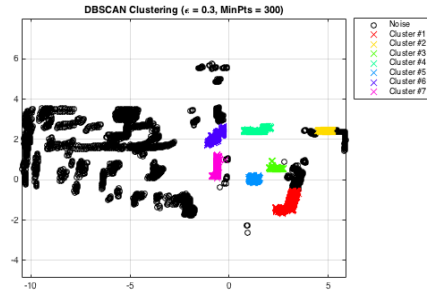


Figure 9: DBSCAN clustering result

174 **4.3 Tracking :**

175 The tracking uses the Kalman Filter algorithm described in the previous section. The figure below  
176 depicts four people walking around in front of the robot. The colored boxes represent each of the  
177 human with the exception of the black box ( which represents centre of the frame) and the blue box  
178 which represents a wall.

179 As the Human moves, the colored boxes slide with them. The color of the box associated with a  
180 particular human does not change from one frame to the next, because we used Data Association in  
181 Kalman Filter.

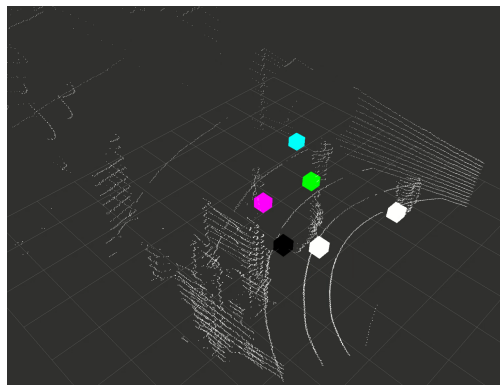


Figure 10: Tracking

182 The video link mentioned below demonstrates all our subsystem capabilities.

183 **5 Work Distribution**

184 Based on the final aim of the project, we have created different work modules in the progress. The  
185 distribution of work is done in accordance to these modules and listed below:

| Work Distribution                     |  |
|---------------------------------------|--|
| Work Modules                          | Responsible group members  |
| Data Collection from Husky's Sensors  | Entire team. All team members need to know how to operate the robot and extract data from its sensor   |
| Point cloud clustering                | Amit and Akshay  |
| Recognition of segmented point-clouds | Akash and Nai-Wei  |
| Tracking of multiple humans           | Yuzhang and Zhichao  |
| Verification and analysis             | Entire Team. All the team members need to contribute in validation of individual units of code developed and integration of the same under one package |

: Table 1: Work distribution table

## 6 Link to Video

Links to the videos that show our real-robot demo are listed below:

### 6.1 Data Collection

The robot is static and the data is collected from a 16 channel Velodyne LIDAR.

<https://youtu.be/QUat9r952qc>

### 6.2 Clustering Algorithm

<https://youtu.be/1EGH4WYtu4Y>

### 6.3 Real-Time Tracking Algorithm

#### a. With two people walking

<https://youtu.be/s-2Ef98TA3E>

#### b. With four people walking

[https://youtu.be/FBUwrIYg\\_VE](https://youtu.be/FBUwrIYg_VE)

## 7 References

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[5] Oh, Jean H., et al. "Toward Mobile Robots Reasoning Like Humans." *AAAI*. 2015.